



The Unbearable Lightness of Neutrinos

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Mysterious Messengers from Outer Space

- February 23, 7:35 universal time, 1987: a hoard of billions upon billions of extragalactic messengers sweep through the Earth
- Only a few of them were stopped. In fact, 11 left a signal in a large, multi-ton water detector deep in the Kamioka mine in Japan
- Three hours later, the light from a Supernova explosion in a nearby galaxy reached the Earth; it was the closest Supernova since the invention of the telescope





The Super-Kamiokande detector in Japan

SN1978A

What had happened?

• A star, 20 times the mass of our Sun, had exploded about 168'000 years ago

(this Supernova explosion took place in the Large Magellanic Cloud, one of the 11 dwarf galaxies that orbit the Milky Way)

- The messengers to reach the Earth first were particles called **neutrinos**, *they had witnessed the death of the star as they zoomed out of the collapsing core*
- It took the photons a few extra hours to reach the surface of the exploding star



The Large Magellanic Cloud, 168'000 light years away



The Supernova remnant

Neutrinos?

- Particles in the Standard Model of particle physics
- It deals with the composition of matter on its smallest scales, with symmetries and interactions between the matter constituents







Nuclei



Protons, Neutrons

Forces: particles called bosons mediate the interactions



Neutrinos?

 Neutrinos are electrically neutral and interact only via the weak force

> We know 3 families of quarks and leptons: these are fermions, spin 1/2

Forces between fermions are mediated by gauge bosons, with spin 1

PARTICLEZ00





First, some history....

- Zürich, December 4, 1930: Wolfgang Pauli, a 30 years old professor at the ETH, writes perhaps one of the most famous letters in modern physics: "Dear radioactive ladies and gentlemen..."
- The letter was addressed mainly to Lise Meitner, who had been working on radioactivity since 1907 and was attending a meeting in Tübingen (Pauli could not attend, because "a ball which takes place in Zürich the night of the sixth to sevenths of December makes my presence here indispensable")
 - Pauli was suggesting "a desperate way out" of some paradox that had arisen in the nascent field of nuclear physics
 - He was proposing "a terrible thing" a new subatomic particle, **the neutrino,** a particle "which can not be detected"
 - In 1930, only the electron, the proton and the photon were known, and Pauli's idea was quite radical!

Absohrift/15.12.5

Offener Brief an die Grunpe der Madicaktiven bei der Geuvereinz-Tegung zu Tübingen.

Absohrift

Physikelisches Institut der Eidg. Technischen Hochschule Aurich

Zirich, 4. Des. 1930 Dioriestrasse



And the Paradox was... the Energy Crisis

- It had been observed (by hard working experimental physicists), that some nuclei are not stable, but decay under the emission of "beta rays" (electrons)
- The energy of the emitted electrons could be measured the spectrum was continuous
- This seemed to violate a well respected law in physics: the conservation of energy!



mc² = E: the mass difference of the two nuclei is converted into the energy of the electron

Only One Reasonable Way Out...

• A new particle: the neutrino. It would share the energy with the electron, but would not be observed because of its incredible weak interaction with matter



- Niels Bohr, 1934: "I must confess that I don't really feel fully convinced of the physical existence of the neutrino"
- Arthur Eddington, 1939: "I am not much impressed by the neutrino theory.... Dare I say that physicists will not have sufficient ingenuity to make neutrinos?"
- Thus, while the idea was considered by most as a very useful hypothesis, few believed it is a real particle, until...

Neutrinos Galore

 Some 30 years later (1956), when Clyde Cowan and Fred Reines started the "Project Poltergeist" and finally detected (anti)neutrinos at the Savannah River Reactor in South Carolina







prompt: $e^+ + e^- \rightarrow \gamma + \gamma$ delayed: $n + Cd \rightarrow \gamma's$

And this was only the beginning of the big adventure...

Neutrino Sources



Neutrinos dominate our Universe



• The world around us (people, trees, stones, buildings, polenta, the Earth...) is made of electrons, protons and neutrons



• Is the whole Universe made of electrons, protons and neutrons?

NO

- These are RARITIES; for every one of them, the Universe contains a billion of neutrinos!
- Moreover, our Universe is made of matter, and not of anti-matter
- To understand the Universe, we must understand neutrinos!

Neutrinos from the Big Bang

- As with photons of the **3 Kelvin Cosmic Microwave Background Radiation** (measured very precisely with the WMAP and now with the Planck Satellite)...
- **the Universe is filled with a sea of neutrinos**, that decoupled from the rest of the particles about 10 seconds after the Big Bang



Every cubic meter of space contains about **300 million neutrinos**

Could they make up the DARK MATTER in the Universe?

NO

Their mass is much too small!

Neutrinos - too light for the dark matter



Neutrino, the Sun and Us

- Regardless, neutrinos are vital for our life!
- No neutrinos would mean:
 - no energy to keep us warm
 - no atoms more complicated than hydrogen
 - ➡ no carbon, no oxygen, no water
 - ➡ no Earth, no moon, no us

NO neutrinos would be very bad news indeed The Universe would be a boring place _____

Solar Neutrinos

- Our Sun is a gigantic fusion reactor, it shines by converting protons into helium nuclei
- These reactions are governed by the weak force, hence the Sun shines for a very long time



• The neutrino flux on Earth is 65 billion solar neutrinos pro cm² and second!

Almost all of these neutrinos are zipping through the Earth and through us, and do **NOTHING AT ALL**

The probability that a particular solar neutrinos will interact as it zips through one of you is **1/10'000'000'000'000'000'000**

Nonetheless...





Gigantic Detectors for Solar Neutrinos

- We see the Sun in neutrinos!
- In fact, solar neutrinos are now routinely detected in gigantic experiments operated in deep underground laboratories around the world
- From such observations, we learn about the Sun interior
- and about the elusive particles themselves!



The Chlorine experiment in the US



The SNO experiment in Canada

The BOREXINO experiment in Italy

Physics Nobel Prize in 2002 for Neutrino-Astronomy



Ray Davis Jr. (1914–2006) Masatoshi Koshiba (*1926)



"for pioneering work in astrophysics, in particular for the detection of cosmic neutrinos "

What do we know about neutrinos?



The 3 neutrino flavours participate in charged current (CC) weak interactions together with the corresponding charged lepton

These are of (V-A) type: neutrinos are LH, anti-neutrinos are RH

In the Standard Model, the flavour lepton numbers are conserved, and neutrinos are exactly massless

What do we know about neutrinos?

• However, when neutrinos propagate over macroscopic distances, they oscillate between flavours:



- This is a well studied effect in quantum mechanics
- It means that flavour is not conserved over macroscopic distances, for instance:

 $P(\nu_e \to \nu_e) < 1$ $P(\nu_\mu \to \nu_\mu) < 1$ $P(\nu_\tau \to \nu_\tau) < 1$

What do we know about neutrinos?

- A compelling explanation of all the available data comes from the assumption that:
 - \rightarrow neutrino states with different flavours \mathcal{V}_{α} mix with neutrino states with different masses \mathcal{V}_{i}
- The mixing is introduced "a la CKM" in the left-handed fields of the CC interaction Lagrangian:

 $\nu_{\alpha} = \sum_{i=1}^{3} U_{\alpha i} \nu_{i} \qquad \nu_{\alpha}, \nu_{i} \quad \text{quantum fields}$ $\nu_{\alpha} = \sum_{i=1}^{3} U_{\alpha i} \nu_{i} \qquad \nu_{\alpha}, \nu_{i} \quad \text{quantum fields}$ $\begin{pmatrix} \nu_{e} \\ \nu_{\mu} \\ \nu_{\tau} \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{3} \end{pmatrix}$ $P(\nu_{\alpha} \rightarrow \nu_{\beta}) = \delta_{\alpha\beta} - 4 \sum_{j>i} U_{\alpha i} U_{\beta i} U_{\alpha j} U_{\beta j} sin^{2} \frac{\Delta m_{ij}^{2} x}{4E}$

• P: flavor transition probability in the case of CP invariance (U = U^{*})

Neutrino mixing

• For 3 neutrino flavours, the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix can also be parameterized:

$$c_{ij} = \cos\theta_{ij} \quad s_{ij} = \sin\theta_{ij}$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
Data from atmospheric v's and accelerators $\theta_{23} \approx 45 \text{ deg}$
Data from $s_{013} \approx 9 \text{ deg}$
Data from $s_{012} \approx 34 \text{ deg}$

In general, we have 3 mixing angles, 1 CP violating phase, 3 different Δm^2 (only 2 being independent)

=> no information about the absolute v-mass scale

 $\Delta m_{sol}^2 \sim 7.5 \times 10^{-5} \ \mathrm{eV}^2$

$$\Delta m_{atm}^2 \sim 2.4 \times 10^{-3} \text{ eV}^2$$

One Open Question: The Mass of Neutrinos

- What is the absolute value of the neutrino mass?
- From experiments that measure the endpoint of the Tritium beta-decay to ³He:



One Open Question: The Mass of Neutrinos

• Neutrinos: much lighter than other known particles



The Double Beta decay

- An ultra-rare nuclear decay, with a half-live > 10 billion times larger than the age of the Universe
- The decay with emission of 2 neutrinos was observed in more than 10 different nuclei: ⁴⁸Ca, ⁷⁶Ge, ⁸²Se, ⁹⁶Zr, ¹⁰⁰Mo, ¹¹⁶Cd, ¹²⁸Te, ¹³⁰Te, ¹³⁶Xe, ¹⁵⁰Nd, ²³⁸U



Neutrinoless double beta decay

 More interesting: the decay mode without emission of neutrinos ("forbidden" in the Standard Model of particle physics, since the lepton number is violated: ΔL = 2)

$$L = 0 \qquad 2n \rightarrow 2p + 2e^{-} \qquad L = 2$$
$$2p \rightarrow 2n + 2e^{+} \qquad L = 2$$



Neutrinoless double beta decay

• A virtual neutrino is exchanged:



Ettore Majorana



 \rightarrow the neutron decays under emission of a right handed 'anti-neutrino' $|v_R\rangle^{C}$

 \Rightarrow the $|v_R\rangle^{C}$ has to be absorbed at the second vertex as left handed 'neutrino' $|v_L\rangle$

- neutrinos and anti-neutrinos must be identical: Majorana particles
- ➡ for the helicity to change, we must have $m_v > 0$

Neutrinoless double beta decay

• The decay rate is:

can be calculated

$$\Gamma^{0\nu} = \frac{1}{T_{1/2}^{0\nu}} = G^{0\nu}(Q,Z) |M^{0\nu}|^2 \frac{|m_{\beta\beta}|^2}{m_e^2}$$

• with the effective Majorana neutrino mass:

$$|m_{\beta\beta}| = |m_1|U_{e1}|^2 + m_2|U_{e2}|^2 e^{i(\alpha_1 - \alpha_2)} + m_3|U_{e3}|^2 e^{i(-\alpha_1 - 2\delta)}|$$

 \Rightarrow a mixture of m₁, m₂, m₃, proportional to the U_{ei}², c_{ij} = cos θ_{ij} , s_{ij} = sin θ_{ij} , α_1, α_2 = Majorana phases

• U_{ei} = matrix elements of the PMNS-Matrix, m_i = eigenvalues of the neutrino mass matrix

Flavor eigenstates
$$|v_e\rangle = \sum_i U_{ei} |v_i\rangle$$
 Eigenstates of the mass operator

Effective Majorana neutrino mass

• Effective neutrino mass as a function of the smallest neutrino mass for the neutrino mass scenarios: "normal" and "inverted" hierarchy, and "degenerate"



Experimental requirements

• Experiments measure the half life of the decay, $T_{1/2}$

$$T_{1/2}^{0\nu} \propto a \cdot \epsilon \cdot \sqrt{\frac{M \cdot t}{B \cdot \Delta E}}$$



Minimal requirements:

large detector masses enriched materials ultra-low background noise excellent energy resolution



Additional tools to distinguish signal from background:

angular distribution decay to excited states (gamma-rays) identification of daughter nucleus

Experiments: Main Approaches

Source ≠ Detector



Source as thin foil Electrons detected with: scintillator, TPC, drift chamber, semiconductor detectors Event topology Low energy resolution and detection efficiency

Source = Detector (calorimeters)



The sum of the energy of the two electrons is measured Signature: peak at the Q-value of the decay Scintillators, semiconductors, bolometers High resolution + detection efficiency No event topology



Source = Detector = Tracker

Source is the (high-pressure) gas of a TPC Charge and light detected with electron multipliers and/or photosensors

Good energy and position resolution, high efficiency

recorded primarily by the array of PMPs located at the TPC cathode. I also produces conization topology very helpful in reducing the background and electrons which drift to the TPC anode and generate EL light (or secondary scintillation, identifying the potential signal entering the region of interse field ($E/P \approx 3 \text{ kV/cm.bar}$) between the transparent EL grids. This light is recorded by an array of silicon photon working light (SiPM) located right behind the EL grids.





Nonetheless, best current sensitivities!

⁷⁶Ge: HPGe diodes (GERDA, MAJORANA)

¹³⁰Te: bolometers, TeO₂ crystals
(CUORE) and Te dissolved in large
liquid scintillator
(SNO+)

¹³⁶Xe: xenon TPCs (EXO, NEXT) and Xe dissolved in large LS (KamLAND-Zen)







But low isotopic abundance, difficult to enrich

Zr, Nd: Tracking calorimeters with thin foils (SuperNEMO)

CaF₂ scintillators (CANDLES)



Double beta detectors, world wide



The GERDA experiment at Gran Sasso

 HPGe detectors, enriched to ~86% in ⁷⁶Ge, directly submersed in LAr, which is shielded by ~ 10 m x 10 m of water



The LAr cryostat during its installation in Gran Sasso



The GERDA experiment at LNGS

Eur. Phys. J. C (2013) 73:2330

- ➡ LAr as cooling medium and shielding (U/Th in LAr < 7x10⁻⁴ µBq/kg)
- ⇒ a minimal amount of surrounding materials
- Phase I (Nov 2011 May 2013)
- ~18 kg enriched 76Ge detectors
- Phase II (early 2014)
- additional 20 kg Ge detectors





Half life of the 2-neutrino decay mode



IOP PUBLISHING	JOURNAL OF PHYSICS G: NUCLEAR AND PARTICLE PHYSICS
J. Phys. G: Nucl. Part. Phys. 40 (2013) 035110 (13pp)	doi:10.1088/0954-3899/40/3/035110

Measurement of the half-life of the two-neutrino double beta decay of ⁷⁶Ge with the GERDA experiment

$$T_{1/2}^{2\nu} = (1.84^{+0.09}_{-0.08}) \times 10^{21} \,\mathrm{yr}$$

Item	Uncert	tainty on $T_{1/2}^{2\nu}$ (%)
Non-identified background components Energy spectra from 42 K, 40 K and 214 Bi Shape of the $2\nu\beta\beta$ decay spectrum	$+5.3 \pm 2.1 \pm 1$	
Subtotal fit model		+5.8 -2.3
Precision of the Monte Carlo geometry model Accuracy of the Monte Carlo tracking	± 1 ± 2	
Subtotal Monte Carlo		±2.2
Data acquisition and selection		±0.5
Grand total		+6.2 -3.3

The neutrinoless decay mode: no signal



PRL 111, 122503, 2013

GERDA lower limit from PL fit of the 3 data sets, with constant term for background (3 parameters for the 3 data sets) and Gaussian term for signal: best fit is $N_{signal} = 0$

 $T_{1/2}^{0\nu} > 2.1 \times 10^{25} \,\mathrm{yr} \,(90\% \,\mathrm{C.L.})$

- the limit on the half life corresponds to $N_{signal} < 3.5$ counts

 Observed and predicted number of background events in the energy region Q_{BB} ± 5 keV

	Observed	Predicted background
No PSD	7	5.1
PSD	3	2.5

• 5.9 ± 1.4 events are expected for "claim", and 2.0±0.3 signal events

Claim of evidence for 0vbb-decay: signal: 28.8 ± 6.9 events

BG level: 0.11 counts/(keV kg yr) HVKK et al., PLB 586 (2004) 198-212

EXO-200 at WIPP (Carlsbad, USA)

- Liquid xenon TPC: 175 kg LXe, 80.6% enriched in ¹³⁶Xe
- Charge and light readout (triplet wire channels and large area avalanche photodiodes)
- Drift field: 376 V/cm





Half life of the 2-neutrino decay mode

• The first observation of this decay for ¹³⁶Xe

 The T_{1/2} corresponds to a matrix element of M = 0.0218±0.0003 MeV-1, the smallest among the isotopes measured so far



PRL 107, 2011 and arXiv:1306.6106, Oct 2013



KamLAND-Zen

- Scintillator loaded with xenon
- 320 kg 90% enriched ¹³⁶Xe so far (more than 600 kg in the Kamioka mine)
- Advantages: huge and clean (U: 3.5e-18 g/g, Th: 5.2e-17 g/g) running detector
- Xe + liquid scintillator can be purified, and is highly scalable
- No escape or invisible energy from gammas and beta: good background identification
- Disadvantage: relatively poor energy resolution
- no beta/gamma discrimination
- limited scintillator composition



KamLAND-Zen: installation



balloon and corrugated tube deployment







mini-balloon inflated with dummy LS and then replaced with Xe-loaded LS density tuning finished and tubes to be extracted

The 2-neutrino and 0-neutrino decay modes

Resolution at 2.6 MeV: sigma ~ 4.1%; background dominated



PRC 85, 2012

The search continues...

- Ton-scale experiments are needed to explore the *inverted mass hierarchy* scale
- Several technologies are moving towards this scale with ultra-low backgrounds
- It remains to be seen which ones can be upgraded to 10-100 ton scale and explore the *normal hierarchy*





Summary

- Neutrinos are *different*!
- Strong evidence for non-zero neutrino masses and non-trivial mixing from oscillation experiments
- Nonetheless, many questions remain unanswered:
 - → absolute mass scale and hierarchy?
 - ➡ Majorana- versus Dirac particles?
 - ⇒ is there CP-violation in the neutrino-sector?
 - ➡ what is the origin of small neutrino masses?
 - ➡ what is the origin of the large neutrino mixing?
- The observation of the neutrinoless double beta decay could help in answering some of these questions

End

Matrix elements











FIG. 7: (Color online) Neutrinoless double beta decay transition matrix elements for the different approaches: QRPA [5, 6], the SM [8–10], the projected HFB method [14] and the IBM [15]. The error bars for the QRPA are calculated as the highest and the lowest values for three different single nucleon basis sets, two different axial charges $g_A = 1.25$ and the quenched value $g_A = 1.00$ and two different treatments of short range correlations (Jastrow-like [25] and the Unitary Correlator Operator Method (UCOM) [26]). The radius parameter is as in this whole work $r_0 = 1.2$ fm.

arXiv:1001.3519

Matrix elements: vary by a factor of 2-3 for a given A





Neutrino masses



lightest neutrino mass in eV

bb-decay